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QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.

By

Evelyn Gomez

Attorney Docket No. 36-002330US
Client Ref. No. P0062US30

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

David C. Tully, et al.

Application No.: 10/799,360

Filed: March 11, 2004

For: COMPOSITIONS AND METHODS
OF VINYL OXAZOLONE
POLYMERIZATION

Examiner: Unassigned

Art Unit: 1614

INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR § 1.97 and
§ 1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

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Page 2

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action on the merits per 37 CFR 1.97(b)(3). However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 50-0893. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



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Reg. No. 41,079

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PTO/SB/21 (05-03)

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TRANSMITTAL FORM

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Total Number of Pages in This Submission

Application Number

10/799,360

Filing Date

March 11, 2004

First Named Inventor

David C. Tully

Group Art Unit

1614

Examiner Name

Unassigned

Attorney Docket Number

36-002330US

ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Response
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
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- ☐ After Allowance Communication to Group
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- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Additional Enclosure(s) (please identify below):

PTO-1449 form, Cited References and receipt acknowledgment postcard

Authorization to Charge Deposit Account

Please charge Deposit Account No. 50-0893 for any additional fees associated with this paper or during the pendency of this application, including any extensions of time for consideration of the documents enclosed.

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Angela P. Horne, Ph.D., Reg. No. 41,079, Quine Intellectual Property Law Group, P.C.

Signature

Angela P. Horne, PhD

Date

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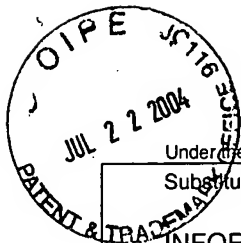
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Substitute for form 1449A-B/PTO

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

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Complete if Known

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First Named Inventor	David C. Tully
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Attorney Docket Number	36-002330US
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U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code (if known)			
	1	4,581,429		Solomon et al.	04-08-1986	

FOREIGN PATENT DOCUMENTS

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		Office	Number	Kind Code (if known)				
	2	WO	96/24620		ELF Atochem S.A.	08-15-1996		
	3	WO	98/19705		Bristol-Myers Squibb Company	05-14-1998		
	4	WO	98/56424		ML Laboratories PLC	12-17-1998		
	5	WO	98/56425		The School of Pharmacy, University of London	12-17-1998		
	6	WO	99/03894		CIBA Specialty Chemicals Holding, Inc.	01-28-1999		
	7	WO	02/056021		Symyx Technologies, Inc.	07-18-2002		

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
	8	Benoit et al., "Development of a Universal Alkoxyamine for "Living" Free Radical Polymerizations" <u>J. Am. Chem. Soc.</u> (1999) Vol. 121:3904-3920.	
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12	Fazio et al., "Synthesis and Polymerization of 2-(<i>p</i> -Vinylphenyl)-4,4-Dimethyl-5-Oxazolone: A Novel Monomer for Making Polymeric Reagents" <u>J. Poly. Sci. Part A: Poly. Chem.</u> (1992) Vol. 30:329-331.
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14	Heilmann et al., "Chemistry and Technology of 2-Alkenyl Azlactones" <u>J. Polym. Sci. Part A: Polym. Chem.</u> (2001) Vol. 39:3655-3677.
15	Iwakura et al., "Copolymerization of 2-Isopropenyl-4,4-dialkyl-5-oxazolones with Styrene" <u>J. Polym. Sci., Part A-1</u> (1968) Vol. 6:2681-2686.
16	Iwakura et al., "Copolymerization of Isoprenyl and Isopropylidene Oxazolones with Styrene" <u>J. Polym. Sci., Part A-1</u> (1966) Vol. 4:2649-2657.
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20	Taylor et al., "Synthesis and Polymerization of 2-Vinyl-4,4-Dimethyl-5-Oxazolone" <u>Polymer Lett.</u> (1971) Vol. 9:187-190.
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Examiner Signature	Date Considered
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	22	Tripp et al., "Reactive Filtration": Use of Functionalized Porous Polymer Monoliths as Scavengers in Solution-Phase Synthesis" <u>J. Org. Lett.</u> (2000) Vol. 2:195-198.	
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